

Enhanced groundwater recharge rates and altered recharge sensitivity to climate variability through subsurface heterogeneity

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Our environment is heterogeneous. In hydrological sciences, the heterogeneity of subsurface properties, such as hydraulic conductivities or porosities, exerts an important control on water balance. This notably includes groundwater recharge, which is an important variable for efficient and sustainable groundwater resources management. Current large-scale hydrological models do not adequately consider this subsurface heterogeneity. Here we show that regions with strong subsurface heterogeneity have enhanced present and future recharge rates due to a different sensitivity of recharge to climate variability compared with regions with homogeneous subsurface properties. Our study domain comprises the carbonate rock regions of Europe, Northern Africa, and the Middle East, which cover ~25% of the total land area. We compare the simulations of two large-scale hydrological models, one of them accounting for subsurface heterogeneity. Carbonate rock regions strongly exhibit “karstification,” which is known to produce particularly strong subsurface heterogeneity. Aquifers from these regions contribute up to half of the drinking water supply for some European countries. Our results suggest that water management for these regions cannot rely on most of the presently available projections of groundwater recharge because spatially variable storages and spatial concentration of recharge result in actual recharge rates that are up to four times larger for present conditions and changes up to five times larger for potential future conditions than previously estimated. These differences in recharge rates for strongly heterogeneous regions suggest a need for groundwater management strategies that are adapted to the fast transit of water from the surface to the aquifers.

groundwater recharge | subsurface heterogeneity | water resources | climate variability | climate change

Groundwater recharge is a crucial component of the global water balance, feeding the world’s groundwater storages and thereby supplying fresh water to large parts of the global population (1–4). Comparing groundwater recharge with groundwater use and ecological water demand helps to distinguish between overused aquifer systems and aquifer systems that still allow for more abstraction in a sustainable way (5, 6). The importance of managing groundwater sustainably will increase in the future given the growing dependence on this resource in many parts of the world (7). Subsurface heterogeneity notably affects groundwater recharge (4), especially in weathered carbonate rock regions (8). Spatially variable soil thickness and hydraulic conductivity in the subsurface produce fast, localized vertical water movement, thereby enhancing groundwater recharge (9). Our study takes into account the impact of subsurface heterogeneity on present and potential future recharge rates at a continental scale. Subsurface heterogeneity evolves for various reasons (10). In this paper, we

confine our modeling domain to carbonate rock regions. Such regions typically exhibit the most extreme subsurface heterogeneity in terms of hydraulic conductivities and storage capacities due to the weathering of carbonate rock, a process also referred to as “karstification” (8, 11). We focus on Europe, Northern Africa, and the Middle East, where ~560 million people depend on drinking water from karst aquifers (12, 13) and where information on karst recharge is most available.

We simulate groundwater recharge (defined here as the simulated vertical downward flux entering the saturated zone) using both a homogeneous and a heterogeneous subsurface representation (Fig. 1). The global hydrological PCRaster Global Water Balance model (PCR-GLOBWB) (14) is used for the homogeneous subsurface representation, whereas the karst recharge model VarKarst-R (15), which includes variable thickness of the soil, epikarst (the weathered interface of soil and carbonate rock), and hydraulic conductivity, is used for the heterogeneous representation. The structure of VarKarst-R is particularly adapted to the dominant hydrological processes of carbonate regions allowing for focused preferential recharge and variable subsurface dynamics that are found in humid, Mediterranean, mountainous,

Significance

Understanding the implications of climate changes on hydrology is crucial for water resources management. Widely used global hydrological models generally assume simple homogeneous subsurface representations to translate climate signals into hydrological variables. We study groundwater recharge in the carbonate rock regions of Europe, Northern Africa, and the Middle East, which are known to exhibit strong subsurface heterogeneity. We demonstrate that subsurface heterogeneity alters the sensitivity of recharge to climate variability and enhances recharge estimates, resulting in potentially more available water per capita, than previously estimated. Our results are opposing previous modeling studies on future groundwater availability that assumed homogeneous subsurface properties everywhere. We suggest that water management strategies in regions with heterogeneous subsurface properties need to consider these revised estimates.

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underestimate recharge (average deviation $-232.9 \text{ mm}\cdot\text{y}^{-1}$, Fig. 2). When we turn off the heterogeneity processes of the heterogeneous model, its simulations also fall in large parts below the 1:1 line, plotting closer to the simulations of the homogeneous model (average deviation $-167.4 \text{ mm}\cdot\text{y}^{-1}$). These results do not mean that subsurface heterogeneity is the only reason for the different simulated recharge rates of the heterogeneous and homogeneous subsurface representations, because the models also differ with respect to other processes, such as interception or capillary rise of groundwater (*Materials and Methods*). However, our comparison suggests that disregarding heterogeneity processes can result in an overall underestimation of recharge, at least for the 38 karst systems that we used in our evaluation.

Recharge Sensitivity to Climate Variability

We further find that the two subsurface representations exhibit different sensitivities to climate variability. We divide all carbonate rock areas into four regions defined by cluster analysis using climatic and topographic descriptors (15): humid (HUM), mountains (MTN), Mediterranean (MED), and deserts (DES). Recharge sensitivities to climate variability are calculated for the time period of 1991–2010. Between the four regions, we find a mixed pattern of sensitivity values (Fig. 3 and Fig. S1). We can see that recharge sensitivities to rainfall change from high to low values when moving from wet (humid) to dry (desert) regions for both model representations. The Mediterranean and desert regions mostly exhibit a higher sensitivity to climate variability. The same gradient from wet to dry is found for high-intensity events. We observe the opposite trend for recharge sensitivity to temperature, which increases from humid toward the Mediterranean regions but decreases again in the desert.

For the Mediterranean and desert regions, the heterogeneous representation shows higher sensitivity to changes in annual precipitation, mean annual temperature, and high-intensity rainfall events. Recharge estimates of the homogeneous model tend to be more sensitive to changes in precipitation in the humid and mountain regions, as well as to changes in high-intensity rainfall events in the mountain regions. Sensitivities to temperature changes in the humid and mountain regions and to high-intensity rainfall events in the humid regions are similar for both subsurface representations. The general pattern of recharge sensitivities can be explained through the increased fractions of precipitation that become evapotranspiration (24, 25) when moving from the humid toward the desert regions. Water availability (precipitation) is the most important control on recharge sensitivities in the humid region, whereas temperature is the stronger control in the Mediterranean regions. In the desert region, recharge sensitivity generally decreases, as there is simply little water available for evapotranspiration.

The different recharge sensitivities with respect to climate variability for the two subsurface representations can be explained by the interplay of two different simulated processes: (i) variable fractions of surface runoff, which dynamically increase or reduce infiltration, and (ii) different dynamics of evapotranspiration that change the amount of water available for downward percolation. The first explains the higher sensitivities of the homogeneous subsurface representation to humid and mountain region precipitation. The homogeneous model calculates fractions of surface runoff with a nonlinear relationship to wetness that is more sensitive for the wet conditions prevailing in humid and mountain regions (Eq. 1, *Materials and Methods*). The same process explains the higher sensitivity of the homogeneous model to high-intensity rainfall events. No such partitioning takes place for the heterogeneous model, which produces focused recharge instead of surface runoff and therefore is less sensitive to changes in precipitation and high-intensity rainfall events in those wet regions (humid, mountain). On the other hand, the explicit calculation of soil storages with variable storage capacities in the heterogeneous subsurface representation (Fig. 1B and Eq. 2, *Materials and Methods*) results in different evapotranspiration dynamics than found in the homogeneous model. Whereas soil compartments with small storage capacities saturate rapidly and produce focused recharge even during small and moderate rainfall events, the uniform soil storages of the homogeneous model (Fig. 1A) remain unsaturated more often and produce more evapotranspiration. This stronger pronunciation of evapotranspiration in the homogeneous model is the reason why its simulated recharge is less sensitive to all three input variables for the Mediterranean and the desert regions.

Future Groundwater Recharge

The differences in recharge sensitivity to variability in climate result in different simulated present and future recharge rates over Europe's carbonate rock regions. Compared with the homogeneous subsurface representation, the heterogeneous subsurface representation shows enhanced and more variable recharge rates for both present and future conditions (Fig. 4 and Figs. S2 and S3). In the present period (1991–2010), the simulated recharge rates of the heterogeneous subsurface representation are $2.1\text{--}4.3\times$ larger than the recharge rates of the homogeneous representation. Toward the end of the century (2080–2099), the five GCMs indicate that in the humid region, future annual precipitation will remain more or less the same (2% of absolute increase), whereas considerable decreases are projected for the mountain (-14%), Mediterranean (-19%), and desert regions (-12%). Temperatures are predicted to increase for all regions, by 2.0, 4.9, 5.2, and $8.1 \text{ }^\circ\text{C}$ in the humid, mountain, Mediterranean, and desert regions, respectively. Future mean intensity of high-rainfall events is predicted to increase for the humid

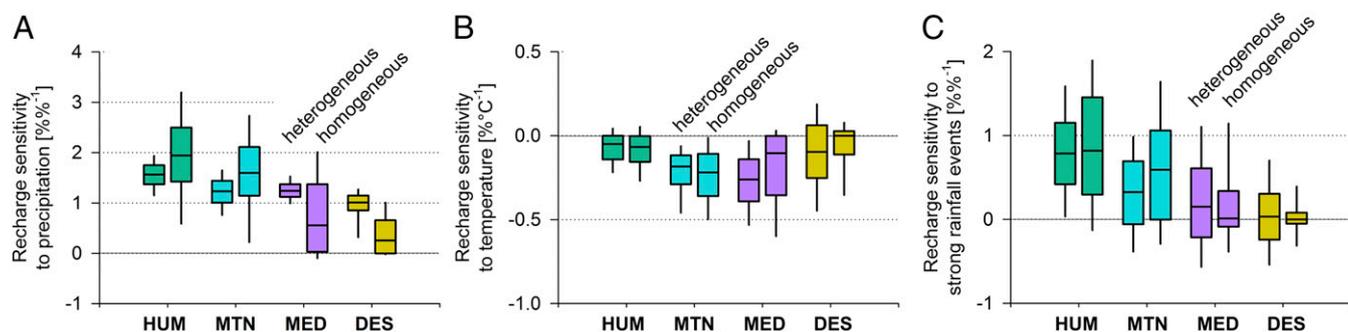


Fig. 3. Sensitivity to climate variability. Recharge sensitivity to (A) annual precipitation, (B) mean annual temperature, and (C) high-intensity events (mean intensity of the upper quartile of rainfall events) for the four regions (HUM, MTN, MED, and DES) at the present (1991–2010); uncertainty of simulated recharge sensitivities of the heterogeneous model due to parameter uncertainty (*Materials and Methods*) to annual precipitant, temperature, and strong rainfall events varies by $0.13\text{--}0.24\% \cdot \%^{-1}$, $0.03\text{--}0.18\% \cdot \text{ }^\circ\text{C}^{-1}$, and $0.18\text{--}0.37\% \cdot \%^{-1}$, respectively (1 SD, increasing from humid to desert regions).

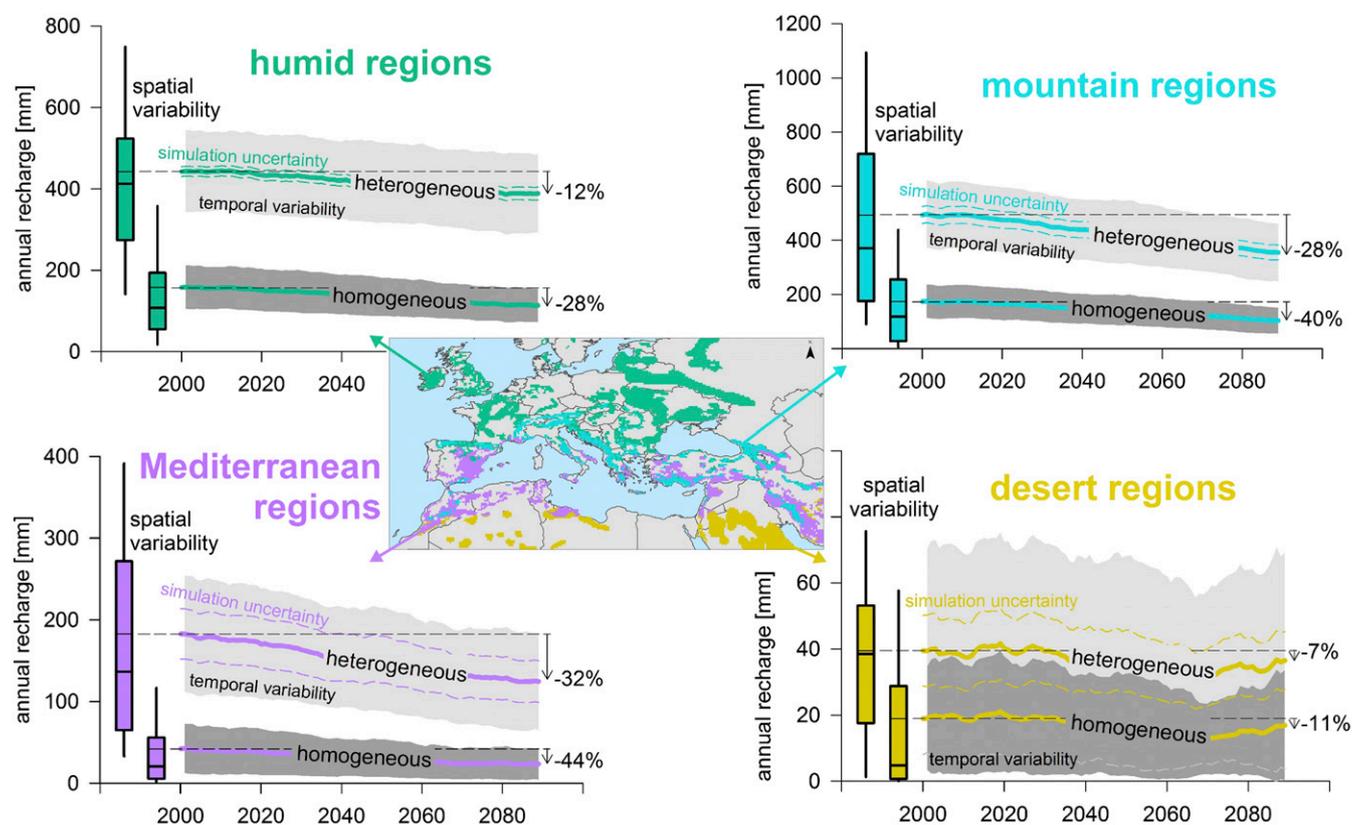


Fig. 4. Simulation of future groundwater recharge. Simulation results for the two subsurface representations for four regions; spatial variability within each region for the present (1991–2010) is presented by the boxplots; temporal evolution of recharge rates is expressed by a 20-y moving average (centered around its mean year, for instance the year 2000 for the 1991–2010 average); temporal variability within each 20-y window is expressed by its SD indicated by the gray shading around the mean (gray dashed line represents lower boundary of the heterogeneous model temporal variability at the desert regions); simulation uncertainty of the heterogeneous model due to parameter uncertainty (*Materials and Methods*) is indicated by the dashed lines around the mean recharge.

(11%), mountain (8%), and Mediterranean (7%) regions, whereas there is no trend for the desert region (1% increase) (Fig. S2).

As a result of the projected climatic change, we find a general reduction of recharge rates for both subsurface representations, which is consistent with previous findings on the changes of future stream flow during low-flow conditions (26). The relative decrease of the two subsurface representations is in the same direction. We find reductions of 7–32 and 11–44% for the heterogeneous and the homogeneous representations, respectively (Fig. 4 and Fig. S3). However, the absolute reductions of simulated recharge rates of the heterogeneous representation ($3\text{--}138\text{ mm}\cdot\text{y}^{-1}$) are 2.2–5.3 \times larger than the simulated reductions of the homogeneous representation ($2\text{--}79\text{ mm}\cdot\text{y}^{-1}$). Interannual variability of recharge is also becoming more pronounced for the heterogeneous representation. This variability increases from the humid and mountain regions to the deserts, likely due to the increased variability of rainfall events in dry regions (27). In particular, convective storm events are known to produce large fractions of preferential recharge in semiarid or arid regions (9). Whereas recharge rates of both simulations are predicted to decrease in all regions, temporal variability within the 20-y averages does not change significantly over the same time horizon. Hence, with a general decrease of recharge rates, the interannual variability of groundwater recharge in heterogeneous regions will gain more importance, especially in the Mediterranean, where we expect an increase in impact of high-intensity events.

Discussion

Focused recharge is known to be an important process of recharge generation in regions with heterogeneous subsurface

characteristics (4, 28) and its strong impact on overall groundwater recharge amounts has been shown in several studies at the catchment scale (29–31). Our recharge sensitivity analysis reveals that accounting for this process and the variability of soil storages at a much larger spatial scale results in different recharge sensitivities compared with a homogeneous subsurface representation that does not consider focused recharge. We demonstrate that a heterogeneous recharge modeling approach is more consistent with independent recharge estimates of other studies for karst regions, and therefore more likely to be a reasonable representation of the water balance separation occurring across the study region than current modeling approaches. Our subsequent findings indicate that the water balance of heterogeneous areas in the Mediterranean and desert regions will be less dominated by evapotranspiration compared with regions with homogeneous subsurface properties because water is rapidly passed downward. The heterogeneous subsurface representation also suggests smaller amounts of surface runoff than the homogeneous representation. On the other hand, the presence of focused recharge and variable soil storage capacities generally results in higher recharge rates, which are less affected by the variability of precipitation and high-intensity events in the humid and mountain regions.

Hence, due to the presence of heterogeneity processes, a greater proportion of the water cycle is active in the subsurface, meaning the risk of overexploitation may be lower than previously considered. Dividing the difference of recharge simulations of the heterogeneous model and mean recharge simulations of the homogeneous model in the four regions by their population (Fig. S4) indicates that an additional $\sim 1,000\text{--}3,300\text{ m}^3$ of groundwater per

capita per year are potentially available at the present (2,900, 3,300, 1,500, and 950 m³ per capita per year for the humid, mountain, Mediterranean, and desert region, respectively). Especially in the Mediterranean, where previous modeling studies expect significant groundwater stress (5), the additional future recharge of 1,000 m³ of groundwater per capita per year may potentially lead to less future groundwater stress than previously expected.

However, estimated groundwater recharge volumes do not equal exploitable groundwater fluxes because a number of factors can limit the use of this simulated surplus recharge. First, groundwater pumping likely decreases groundwater discharge significantly, with spring flow and base flow impacting environmental flow (1, 32). Second, groundwater recharge in carbonate rock aquifers may quickly leave the aquifer through large conduit systems and springs (8). Third, recharge that is stored within the aquifer may not be fully available for development as abstraction wells are usually unable to access the entire volume of the aquifer (32). Fourth, the high temporal variability of recharge in heterogeneous regions, which is most pronounced at the Mediterranean and desert regions (Fig. 4), may prohibit continuous withdrawal of groundwater. Finally, higher recharge rates imply an increased vulnerability to surface contamination due to preferential recharge, which might reduce the value of the groundwater resource (33).

Possible water management strategies include adapted water management plans that take into account the variable flow dynamics of these aquifers with heterogeneous recharge behavior. For instance, groundwater-pumping rates could be adapted to the temporally variable water availability (34). Additionally, temporal variability could be compensated for by artificially recharging aquifers with longer residence times using water discharged from the more heterogeneous regions (35, 36). Regardless, the requirements to sustain environmental flow (1) and the increased vulnerability to contamination due to preferential recharge (33) have to be accounted for in any water management plan. The concerns are especially acute in the Mediterranean region, where the expected increase of rainfall intensity and the high interannual variability of recharge will require adapted measures for water resources management and protection to finally use the potentially additional recharge that we found in our study. Such management strategies are important because 116 million inhabitants and 80% of agriculture depend on irrigation (Fig. S4) in the Mediterranean region.

This study focuses on how to represent subsurface heterogeneity in large-scale hydrological models. Our results imply that subsurface heterogeneity significantly alters groundwater recharge and its sensitivity to climate variability at large spatial scales. The explicit consideration of variable storage capacities and focused recharge within the heterogeneous model is different compared with previous large-scale modeling studies that considered their soil layers to be homogeneous (37, 38). Considering heterogeneity processes within our model produces less evapotranspiration and surface runoff and more groundwater recharge. This difference produces potentially more available groundwater per capita than previously estimated (14). Current simulations of land surface–atmosphere coupling (25), drought occurrence (26, 39), flood frequency projections (40), or water scarcity assessment (41) are currently based on large-scale hydrological models with homogeneous subsurface representations. Our study shows that their results may have reduced utility for groundwater management for regions with pronounced subsurface heterogeneity. Through our parsimonious simulation approach, we also provide a promising direction to include subsurface heterogeneity evolved due to karstification into any large-scale hydrological model to obtain more realistic simulations.

Materials and Methods

The Homogeneous Model: PCR-GLOBWB. The PCR-GLOBWB model (14) simulates the terrestrial water balance on a 0.5 × 0.5° grid using a daily temporal resolution. Soil water balance of two homogeneous soil layers and a single

underlying aquifer layer is calculated at each time step. Simulated hydrological processes comprise infiltration of rainfall and snowmelt, evapotranspiration, interception, downward percolation from the upper soil layer to the lower soil layer and from the lower soil layer to the aquifer layer (which is the flux we consider the simulated recharge of the homogeneous model in this study), and capillary rise from the groundwater up to the unsaturated soil. The model parameters are found using prior information from public sources, e.g., the Digital Soil Map of the World provided by the Food and Agriculture Organization (FAO) of the United Nations (42) or a simplified version of the lithological map of the world (43). No calibration is performed.

Like other global hydrological models (37, 44), PCR-GLOBWB uses a distribution function to account for the impact of spatial variability of land-surface properties on the generation of surface runoff:

$$x(t) = 1 - \left(\frac{S(t)}{S_{max}} \right)^{b/(b+1)}, \quad [1]$$

where $x(t)$ is the fraction of effective precipitation at time t that becomes surface runoff, $S(t)$ is the total soil storage (layers 1+2) at time t , S_{max} is the maximum total soil storage, and b is a dimensionless shape factor based on subgrid information on the distribution of land-cover classes with tall and short vegetation, paddy and nonpaddy irrigation, land and open water, and different soil types (45). The surface runoff calculated by Eq. 1 leaves the grid cell toward the stream (Fig. 1A).

The Heterogeneous Model, VarKarst-R. The VarKarst-R(15) also simulates terrestrial hydrological processes on a 0.5 × 0.5° grid and at a daily temporal resolution. Its structure considers infiltration of rainfall and snowmelt, evapotranspiration, downward percolation from the upper soil layer to a lower soil epikarst layer, and vertical percolation from the epikarst layer toward the groundwater (which is the flux we defined as simulated recharge of the heterogeneous model in this study). The epikarst in the second layer is a typical feature of karst systems regarded as the hydrological unit that controls the dynamic separation of focused and diffuse groundwater recharge (46, 47). In general, the VarKarst-R model has a simpler structure (only 4 free parameters) compared with PCR-GLOBWB (29 free parameters) as it uses fewer explicit representations of hydrological processes, for instance it does not explicitly consider interception or capillary rise from the groundwater.

The special feature of the VarKarst-R model is its assumption that even within the same hydrological landscape type there is a distribution of subsurface properties. This variability is expressed by distribution functions that allow for variability of soil and epikarst storage capacities, as well as of epikarst hydraulic properties, over N horizontally parallel model compartments (Fig. 1B):

$$S_{max,i} = S_{max,N} \left(\frac{i}{N} \right)^a, \quad [2]$$

$$K_{epi,i} = K_{epi,1} \left(\frac{N-i+1}{N} \right)^a, \quad [3]$$

where $S_{max,i}$ (mm) is the soil or epikarst storage capacity of model compartment i , $S_{max,N}$ (mm) is the overall maximum storage capacity of the soil or the epikarst, $K_{epi,i}$ [d] is the storage constant of the epikarst at model compartment i , $K_{epi,1}$ [d] is the storage constant of the epikarst at model compartment 1, and a [–] is a dimensionless shape factor. Using the distributions from Eqs. 2 and 3, soil and epikarst water balance are simultaneously calculated at each time step and in each model compartment. The epikarst can only reach saturation when infiltration exceeds vertical percolation (actual epikarst storage divided by $K_{epi,i}$). The fraction of effective precipitation that exceeds soil and epikarst water deficit becomes surface runoff. However, in contrast to PCR-GLOBWB, surface runoff is not routed toward the streams but transferred laterally to the next model compartment (from i to $i+1$) where it is added again to effective precipitation. Increasing epikarst permeability (Eq. 3), therefore, allows for lateral flow concentration along the model compartments (Fig. 1B).

Because large-scale information on subsurface heterogeneity in carbonate rock regions is not available, a procedure to estimate the VarKarst-R model parameters was developed (15). Based on cluster analysis and the concept of hydrological landscapes that includes climate and topographic information (15, 48), carbonate rock regions are divided into four regions: humid (HUM), mountains (MTN), Mediterranean (MED), and deserts (DES). A large sample of initial model parameter sets ($n = 25,000$) is iteratively reduced using prior information [e.g., the FAO Digital Soil Map of the World (42)], FLUXNET (the global network of micrometeorological tower sites) (49) latent heat flux

observations, and soil moisture observations of the International Soil Moisture Network (50) in each of the regions. For each karst landscape, the reduced parameters ranges of acceptable latent heat flux and soil moisture simulations directly express the remaining parameter uncertainty. For this study, we sampled 250 parameter sets from these reduced ranges to obtain an ensemble of 250 model realizations in each grid cell to quantify the uncertainty of the VarKarst-R recharge simulations due to the parameter estimation process.

Climate Change Scenarios. Both simulation models are driven by the same climate forcing derived from the bias-corrected five GCMs of the ISI-MIP data (16). We chose the highest emission scenario of available Representative Concentrations Pathways (RCP 8.5), with strongly increased radiative forcing and atmospheric CO₂ concentrations (17) to obtain the worst-case scenario between current and future conditions. Similar to previous studies on climate change impacts (25), we consider 20-y periods to analyze changes in climate and groundwater recharge. By calculating running averages and their SD of the GCM ensemble mean for each of the four subregions, we can assess average recharge and its sensitivity to climate variability, including their transitions toward the end of this century.

Elasticity Calculations. We define recharge elasticity E_R [–] as the median of the interannual changes of recharge rates R (mm·y^{–1}) according to transannual

changes of a controlling variable X , normalized by their annual means over a predefined period (e.g., 20 y):

$$E_R = \text{median} \left(\frac{\Delta R}{\Delta X} \right). \quad [4]$$

As in previous studies (18, 20), we prefer the median of transannual changes rather than their mean to avoid bias due to outliers. As control variables, we consider annual precipitation P (mm), temperature T (°C), and the annual mean of rainfall intensity of high-intensity events H_{INT} (mm·d^{–1}), defined as the mean intensity of the upper quartile of rainfall events. Hereby P represents the influence of the total annual water availability on recharge, T is a proxy for the influence of energy available for evapotranspiration, and H_{INT} is an indicator for the influence of strong rainfall events on recharge (also see elaborations in the letter above). Similar to other studies (25), we consider 20 y long enough to reflect climatic variability. Whereas R , P , and H_{INT} are normalized by their mean over this 20-y period, we do not normalize T because temperature changes cannot be meaningfully represented as percent.

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